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The problem

Introduction

The sequence 333111333131333111333... is identical to the sequence of its block lengths. Compute the frequency of the number 3 in this sequence.

Solution

This sequence is known as the Kolakoski-(3,1) sequence. See N.J.A. Sloane's On-Line Encyclopedia of Integer Sequences, sequence number A064353, which is in fact the Kolakoski-(1,3) sequence, different only in the first position. See [1].

Michael Baake and Bernd Sing wrote: Unlike the (classical) Kolakoski sequence on the alphabet $\{1,2\}$, its analogue on $\{1,3\}$ can be related to a primitive substitution rule. See [2] and [3]. We base our calculations on section 2 of this paper.

Let $A = 33$, $B = 31$ and $C = 11$. In the case of Kol(3, 1) the substitution σ and the matrix M of the substitution are given by

$$\begin{array}{l} A \mapsto ABC \\ \sigma : B \mapsto AB \\ C \mapsto B \end{array} \quad \text{and} \quad M = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad (1)$$

where $m_{ij} = 1$ if and only if there is corresponding mapping in σ , for instance $A \mapsto ABC$ corresponds to the first column of M , etcetera.

An infinite fixed point can be obtained as follows:

$$A \mapsto ABC \mapsto ABCABB \mapsto \dots \quad (2)$$

This corresponds to

$$333111333131 \dots \quad (3)$$

which is the unique infinite Kol(3, 1). The matrix M is primitive because M^3 has only positive entries. The characteristic polynomial $P(\lambda)$ of M is

$$P(\lambda) = \lambda^3 - 2\lambda^2 - 1, \quad (4)$$

and has one real root λ_1 and two complex roots $\lambda_{2,3}$. We have

$$2.205569 \approx \lambda_1 > 1 > |\lambda_2| = |\lambda_3| \approx 0.67 \quad (5)$$

According to the Perron-Frobenius Theorem there is a positive eigenvector to λ_1 . We easily verify that $\mathbf{x}_1 = (\lambda_1, \lambda_1^2 - \lambda_1, 1)^T$ is such an eigenvector. Starting with $\mathbf{x}(0) = (1, 0, 0)^T$ we define

$$\mathbf{x}(k+1) = M\mathbf{x}(k) \quad (6)$$

The asymptotical behavior of this system will be of the form $\mathbf{x}(n) = c \cdot (\lambda_1)^n \mathbf{x}_1$ for some value of c .

From $\mathbf{x}(n)$ we can calculate the number of A 's, B 's and C 's. In $A = 33$ there are two 3 's, etcetera, so we can easily calculate the relative frequencies of the letters of the alphabet. The frequency of the '3':

$$\rho_3 = \frac{2 \cdot \lambda_1 + 1 \cdot (\lambda_1^2 - \lambda_1) + 0 \cdot 1}{2 \cdot (\lambda_1^2 + 1)} \approx 0.6027847150 \quad (7)$$

References

- [1] <http://www.research.att.com/projects/OEIS?Anum=A064353>
- [2] Baake, Sing: Kolakoski-(3,1) is a (deformed) Model Set, *Canad. Math. Bull.* 47, No. 2, 168–190 (2004)
- [3] See also <http://arxiv.org/abs/math.MG/0206098>